

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
721802-1010

In Re Application Of: RIDLEY



Serial No.
09/698,450

Filing Date
October 27, 2000

Examiner

Group Art Unit

Invention: Audio Sound Quality Enhancement Apparatus

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Peter A. Nieves
Signature

Peter A. Nieves, Reg. No. 48,173
Hayes Soloway P.C.
175 Canal Street
Manchester, New Hampshire 03101
603-668-1400

Dated:

4/30/03

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
5/2/03 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Johanne Hrycun
Signature of Person Mailing Correspondence

Johanne Hrycun
Typed or Printed Name of Person Mailing Correspondence

cc: jah



28PH

2827

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: RIDLEY

SERIAL NO.: 09/698,450

FILED: OCTOBER 27, 2000

FOR: AUDIO SOUND QUALITY ENHANCEMENT APPARATUS

DOCKET: 721802-1010

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-identified application, Applicant hereby attaches U.S. Patent Office Form PTO-1449, including copies of the references listed thereon together with the English language abstracts. These references were cited in the International Search Report of the corresponding PCT Patent application. A copy of the International Search Report is enclosed. The claims in the present application are believed to be patentably distinguished over these references.

This Information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(a). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(a) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of

HAYES SOLOWAY P.C.
130 W. CUSHING ST.
TUCSON, AZ 85701
TEL. 520.882.7623
FAX. 520.882.7643

—
175 CANAL STREET
MANCHESTER, NH 03101
TEL. 603.668.1400
FAX. 603.668.8567

record submits a copy of an official communication by a international examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in an international patent application on the same subject matter. However, no independent analysis of these references, the accuracy of the statement of the international examiner, or the claims of the international application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made.

The enclosed Information Disclosure Statement is being submitted within three months of the International Search Report as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe that there are no fees involved with this information disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



Peter A. Nieves
Attorney for Applicant
Reg. No. 48,173

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 2, 2003 at Manchester, New Hampshire.

By:



HAYES SOLOWAY P.C.
130 W. CUSHING ST.
TUCSON, AZ 85701
TEL. 520.882.7623
FAX. 520.882.7643

175 CANAL STREET
MANCHESTER, NH 03101
TEL. 603.668.1400
FAX. 603.668.8567

